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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
 ( Not for submission under 37 CFR 1.99)

Application Number	09854356
Filing Date	2001-05-09
First Named Inventor	Cheever et al.
Art Unit	1643
Examiner Name	Lynn Bristol
Attorney Docket Number	CRX113US1

**U.S.PATENTS**

Examiner Initial*	Cite No	Patent Number	Kind Code <sup>1</sup>	Issue Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear
	1	5801005		1998-09-01	Cheever	

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**U.S.PATENT APPLICATION PUBLICATIONS**

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**FOREIGN PATENT DOCUMENTS**

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1	CHAMBERLAIN AND KAUFMAN, Innovations and strategies for the development of anticancer vaccines; Expert Opinion on Pharmacotherapy, 2000, 1/4, 603-614;	<input type="checkbox"/>
2	DISIS AND GRABSTEIN; Generation of Immunity to the HER-2/neu Oncogenic Protein in Patients with Breast and Ovarian Cancer Using a Peptide-based Vaccine, Clinical Cancer Research, June 1999, 5, 1289-1297;	<input type="checkbox"/>
3	DISIS AND CHEEVER; HER-2/neu Protein A Target for Antigen-specific Immunotherapy of Human Cancer; Advances in Cancer Research; 1997, 71, 343-371;	<input type="checkbox"/>
4	EZZEL ET AL., Cancer Vaccines - An Idea Whose Time has Come?, J. NIH Research, 1995, 7, 46-49,	<input type="checkbox"/>
5	KUREBAYASHI, Biological and Clinical Significance of HER2 Overexpression in Breast Cancer, Breast Cancer, Jan 2001, 8/1, 45;	<input type="checkbox"/>
6	LIMENTANI ET AL.; A recombinant HER2 protein evaluated for cancer immunotherapy: induction of specific antibodies and T-cells, (Poster) 18th EORTC-NCI-AACR Symposium, Prague, CZ, 7-11 Nov 2006,	<input type="checkbox"/>
7	LIMENTANI ET AL.; Evaluation of a recombinant HER2 vaccine. Induction of specific antibodies, T-cells and preliminary activity in metastatic breast cancer patients, J. Clinical Oncology (Abstract, 2006 ASCO Annual Meeting), 20 Jun 2006, 631;	<input type="checkbox"/>
8	MURRAY ET AL ; Clinical Trials of Her2/neu specific vaccines , Seminars in Oncology, Dec 2000, 27/6 Supp 11; 71-75;	<input type="checkbox"/>
9	ROSS AND FLETCHER; The HER-2/neu Oncogene in Breast Cancer: Prognostic Factor, Predictive Factor, and Target for Therapy, The Oncologist, 1998, 3, 237-252;	<input type="checkbox"/>
10	SIKIC, New approaches in cancer treatment, Annals of Oncology, 1999, 10(Suppl 6), S149-S153;	<input type="checkbox"/>
11	ZAKS AND ROSENBERG; Immunization with a Peptide Epitope (p369-377) from HER-2/neu Leads to Peptide-specific Cytotoxic T Lymphocytes That Fail to Recognize HER-2/neu+ Tumors, Cancer Research, 1 Nov 1998, 58, 4902-4906,	<input type="checkbox"/>

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**EXAMINER SIGNATURE**

Examiner Signature	Date Considered
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See attached certification statement.  
 Fee set forth in 37 CFR 1.17 (p) has been submitted herewith.  
 None

**SIGNATURE**

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

Signature	/Virginia G. Campen/	Date (YYYY-MM-DD)	2007-06-13
Name/Print	Virginia G. Campen	Registration Number	37,092

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